

APPLICATION FOR FINANCIAL ASSISTANCE
Revised 4/99

IMPORTANT: Please consult the "Instructions for Completing the Project Application" for assistance in completion of this form.

CB16 F

SUBDIVISION: City of Norwood CODE# 061-57386

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 09 / 12 / 00

CONTACT: Victor Schneider PHONE # (513) 458-4506

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

FAX (513) 458-4504 E-MAIL jcameron_norwood@fuse.net

PROJECT NAME: Norwood Drainage Improvements -Elm Avenue Sewer

SUBDIVISION TYPE

(Check only 1)

- ☐ 1. County
☒ 2. City
☐ 3. Township
☐ 4. Village
☐ 5. Water/Sanitary District
(Section 6119 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ☐ 1. Grant \$ _____
☒ 2. Loan \$ 659,350.00
☐ 3. Loan Assistance \$ _____

*TERMINATED
By Applicant*

PROJECT TYPE

(Check Largest Component)

- ☐ 1. Road
☐ 2. Bridge/Culvert
☐ 3. Water Supply
☐ 4. Wastewater
☐ 5. Solid Waste
☒ 6. Stormwater

TOTAL PROJECT COST: \$ 659,350.00

FUNDING REQUESTED: \$ 659,350.00

DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ _____ LOAN ASSISTANCE: \$ _____

SCIP LOAN: \$ _____ RATE: _____ % TERM: _____ yrs.

RLP LOAN: \$ 659,350 RATE: 0 % TERM: 20 yrs.

(Check only 1)

☒ State Capital Improvement Program

☐ Local Transportation Improvements Program

☐ Small Government Program

OFFICE OF NEW BURLINGTON
COUNTY ENGINEER
2001 SEP 21 PM 2:10

FOR OPWC USE ONLY

PROJECT NUMBER: C _____ /C _____

Local Participation _____ %

OPWC Participation _____ %

Project Release Date: ____ / ____ / ____

OPWC Approval: _____

APPROVED FUNDING: \$ _____

Loan Interest Rate: _____ %

Loan Term: _____ years

Maturity Date: _____

Date Approved: ____ / ____ / ____

SCIP Loan _____ RLP Loan _____

1.0 PROJECT FINANCIAL INFORMATION

1.1 PROJECT ESTIMATED COSTS: (Round to Nearest Dollar)	TOTAL DOLLARS	FORCE ACCOUNT DOLLARS
a.) Basic Engineering Services:	\$ _____ .00	_____
Preliminary Design	\$ _____ . 00	
Final Design	\$ _____ . 00	
Bidding	\$ _____ . 00	
Construction Phase	\$ _____ . 00	
 Additional Engineering Services *Identify services and costs below.	 \$ _____ .00	 _____
 b.) Acquisition Expenses: Land and/or Right-of-Way	 \$ _____ .00	 _____
 c.) Construction Costs:	 \$ <u>598,500.00</u>	 _____
 d.) Equipment Purchased Directly:	 \$ _____ .00	
 e.) Permits, Advertising, Legal: (Or Interest Costs for Loan Assistance Applications Only)	 \$ <u>1,000.00</u>	
 f.) Construction Contingencies:	 \$ <u>59,850.00</u>	
 g.) TOTAL ESTIMATED COSTS:	 \$ <u>659,350.00</u>	

***List Additional Engineering Services here:**
Service:

Cost:

1.2 PROJECT FINANCIAL RESOURCES:
(Round to Nearest Dollar and Percent)

	DOLLARS	%
a.) Local In-Kind Contributions	\$ <u>.00</u>	<u> </u>
b.) Local Revenues	\$ <u>.00</u>	<u> </u>
c.) Other Public Revenues	\$ <u>.00</u>	<u> </u>
ODOT	\$ <u>.00</u>	<u> </u>
Rural Development	\$ <u>.00</u>	<u> </u>
OEPA	\$ <u>.00</u>	<u> </u>
OWDA	\$ <u>.00</u>	<u> </u>
CDBG	\$ <u>.00</u>	<u> </u>
OTHER _____	\$ <u>.00</u>	<u> </u>
SUBTOTAL LOCAL RESOURCES:	\$ <u>.00</u>	<u> 0</u>
d.) OPWC Funds		
1. Grant	\$ <u> </u>	<u> 0</u>
2. Loan	\$ <u>659,350.00</u>	<u> 100</u>
3. Loan Assistance	\$ <u>.00</u>	<u> </u>
SUBTOTAL OPWC RESOURCES:	\$ <u>659,350.00</u>	<u> 100</u>
e.) TOTAL FINANCIAL RESOURCES:	\$ <u>659,350.00</u>	<u> 100%</u>

1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local share funds required for the project will be available on or before the earliest date listed in the Project Schedule section.

ODOT PID# _____ Sale Date: _____

STATUS: (Check one)

Traditional _____
Local Planning Agency (LPA) _____
State Infrastructure Bank _____

2.0 PROJECT INFORMATION

If project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: Norwood Drainage Improvements – Elm Avenue Sewer

2.2 BRIEF PROJECT DESCRIPTION - (Sections A through C):

A: SPECIFIC LOCATION:

City of Norwood – Hamilton County
Rear yards of residents along Ridgeway Avenue & Woodlawn Avenue north of
Maple to Elm Avenue, 100' east of Franklin Avenue.
(See attached map)

PROJECT ZIP CODE: 45212

B: PROJECT COMPONENTS:

1. Remove existing pipe
2. Reconstruct existing catch basins and manholes
3. Construct new manholes and catch basins
4. Install new pipe (upsized)
5. Construct new detention basin

C: PHYSICAL DIMENSIONS / CHARACTERISTICS:

Begin project (rear yards) to Maple Avenue – 600 lf
Maple Avenue to Elm Avenue (pavement) – 500 lf
Sherman Avenue (500' east of Allison Avenue – in front of High School) – 100 lf

D: DESIGN SERVICE CAPACITY:

Detail current service capacity vs. proposed service level.

The current service capacity is unable to handle the number of households it currently is required to serve. This project would enable the stormwater to drain from the area properly alleviating a flooding and sewer back-up problem that has existed for many years.

Road or Bridge: Current ADT _____ Year: _____ Projected ADT: _____ Year: _____

Water/Wastewater: Based on monthly usage of 7,756 gallons per household, attach current rate ordinance. Current Residential Rate: \$ _____ Proposed Rate: \$ _____

Stormwater: Number of households served: @70

2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 50 Years.

Attach Registered Professional Engineer's statement, with original seal and signature confirming the project's useful life indicated above and estimated cost.

3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT \$ 658,350.00

TOTAL PORTION OF PROJECT NEW/EXPANSION \$ 0.00

4.0 PROJECT SCHEDULE: *

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>9/01/02</u>	<u>2/01/03</u>
4.2 Bid Advertisement and Award:	<u>3/01/03</u>	<u>5/01/03</u>
4.3 Construction:	<u>6/01/03</u>	<u>10/01/03</u>
4.4 Right-of-Way/Land Acquisition:	<u>/ /</u>	<u>/ /</u>

* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by the CEO of record and approved by the commission once the Project Agreement has been executed. The project schedule should be planned around receiving a Project Agreement on or about July 1st.

5.0 APPLICANT INFORMATION:

5.1 CHIEF EXECUTIVE

OFFICER	<u>Victor Schneider</u>
TITLE	<u>Director of Public Service</u>
STREET	<u>4645 Montgomery Road</u>
CITY/ZIP	<u>Norwood, Ohio 45212</u>
PHONE	<u>(513) 458 - 4506</u>
FAX	<u>(513) 458 - 4504</u>
E-MAIL	<u>jcameron_norwood@fuse.net</u>

5.2 CHIEF FINANCIAL

OFFICER	<u>Donnie R. Jones</u>
TITLE	<u>Auditor</u>
STREET	<u>4645 Montgomery Road</u>
CITY/ZIP	<u>Norwood, Ohio 45212</u>
PHONE	<u>(513) 458 - 4570</u>
FAX	<u>(513) 458 - 4571</u>
E-MAIL	<u>norwood@infinet.com</u>

5.3 PROJECT MANAGER

TITLE	<u>Jeff Klima</u>
TITLE	<u>Project Coordinator</u>
STREET	<u>4645 Montgomery Road</u>
CITY/ZIP	<u>Norwood, Ohio 45212</u>
PHONE	<u>(513) 458 - 4545</u>
FAX	<u>(513) 458 - 4546</u>
E-MAIL	<u>jcameron_norwood@fuse.net</u>

Changes in Project Officials must be submitted in writing from the CEO.

6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Confirm in the blocks [] below that each item listed is attached.

- [n/a] A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- [X] A certification signed by the applicant's chief financial officer stating all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- [n/a] A cooperation agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- [X] A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's original seal or stamp and signature, subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- [n/a] Projects which include new and expansion components and potentially affect productive farmland should include a statement evaluating the potential impact. If there is a potential impact, the Governor's Executive Order 98-VII and the OPWC Farmland Preservation Review Advisory apply.
- [X] Capital Improvements Report: (Required by O.R.C. Chapter 164.06 on standard form)
- [X] Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your *local* District Public Works Integrating Committee.

7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

Victor Schneider, Director of Public Service
Certifying Representative (Type or Print Name and Title)

Victor Schneider 9/21/01
Signature/Date Signed

PROJECT: NORWOOD DRAINAGE IMPROVEMENTS
ENG. EST.: \$658,350.00

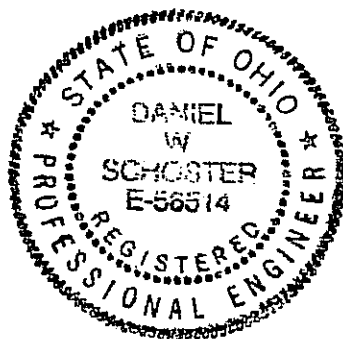
ENGINEER'S
ESTIMATE

DESCRIPTION	UNIT	QUANT	UNIT	TOTAL
CLEARING & GRUBBING	LS	1	\$ 10,500.00	\$ 10,500.00
PAVEMENT REMOVED	LF	1,500	\$ 10.50	\$ 15,750.00
EXCAVATION	CY	5,000	\$ 10.50	\$ 52,500.00
EMBANKMENT	CY	5,000	\$ 5.25	\$ 26,250.00
60" CONDUIT	LF	650	\$ 210.00	\$ 136,500.00
48" CONDUIT	LF	700	\$ 157.50	\$ 110,250.00
24" CONDUIT	LF	100	\$ 84.00	\$ 8,400.00
18" CONDUIT	LF	60	\$ 52.50	\$ 3,150.00
15" CONDUIT	LF	100	\$ 52.50	\$ 5,250.00
12" CONDUIT	LF	100	\$ 52.50	\$ 5,250.00
CATCH BASIN	EA	12	\$ 2,100.00	\$ 25,200.00
MANHOLE	EA	10	\$ 3,150.00	\$ 31,500.00
MAINTAIN TRAFFIC	LS	1	\$ 26,250.00	\$ 26,250.00
CONSTRUCTION LAYOUT STAKES	LS	1	\$ 31,500.00	\$ 31,500.00
SEEDING & MULCHING	SY	10,000	\$ 2.10	\$ 21,000.00
RESTORATION	LS	1	\$ 52,500.00	\$ 52,500.00
UTILITY ADJUSTMENTS	LS	1	\$ 21,000.00	\$ 21,000.00
EROSION CONTROL	LS	1	\$ 15,750.00	\$ 15,750.00
CONTINGENCIES	LS	1	\$ 59,850.00	\$ 59,850.00

TOTAL ESTIMATED COST \$ 658,350.00

I HEREBY CERTIFY THIS TO BE AN ACCURATE ESTIMATE OF THE PROPOSED PROJECT.
THE USEFUL LIFE OF THIS PROJECT IS 50 YEARS.


DANIEL W. SCHOSTER, P.E.





Donnie R. Jones, CPA
City Auditor

Janet Kennedy
Deputy Auditor

4645 Montgomery Road
Norwood, Ohio 45212
Ph. 513-458-4570
Fax 513-458-4571

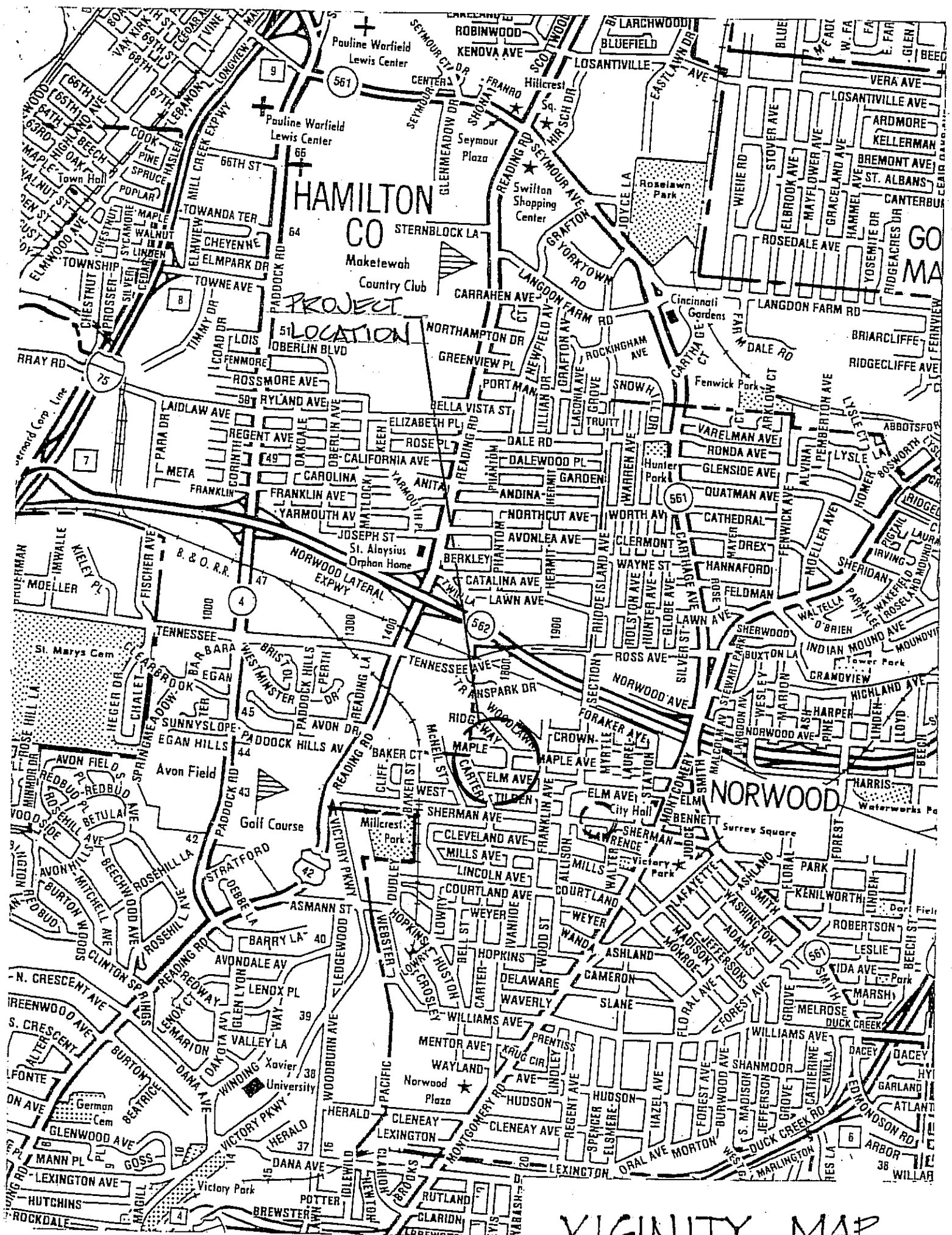
December 13, 2001

To Whom It May Concern:

I, Donnie R. Jones, Auditor, of the City of Norwood, hereby certify that the City of Norwood will use the Street Maintenance and Repair Fund, Permissive Tax Fund, Water Fund and/or other Funds as authorized by Norwood City Council to repay the interest free loan for the Norwood Drainage Improvements/Elm Avenue Storm Sewer Repair Project pending full approval by the City of Norwood Council and Administration and the Ohio Public Works Commission.


Donnie R. Jones

"Gem of The Highlands"



VICINITY MAP

ADDITIONAL SUPPORT INFORMATION

For Program Year 2002 (July 1, 2002 through June 30, 2003), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items, as noted, is required. The applicant should also use the rating system and its' addendum as a guide. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

Give a statement of the nature of the deficient conditions of the present facility exclusive of capacity, serviceability, health and/or safety issues. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded. Use documentation (if possible) to support your statement. Documentation may include (but is not limited to): ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application. Examples of deficiencies include: structural condition; substandard design elements such as widths, grades, curves, sight distances, drainage structures, etc.

The storm sewer is severely undersized (existing 24" & 30": required 48" & 60" – refer to drainage study) resulting in dangerous flooding in the street (i.e. 5' of standing water on Elm Avenue; 9/12/98 & 6/20/01 storm events-refer to Enquirer article June 2001 pictures). Pipe is old and experiencing deterioration (refer to report). Estimated age of infrastructure is 50-100 yrs. old.

2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

Give a statement of the projects effect on the safety of the service area. The design of the project is intended to reduce existing accident rate, promote safer conditions, and reduce the danger of risk, liability or injury. (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

Residences and streets are experiencing extreme flooding causing temporary closures and property damage. As with any body of water there is risk of children inadvertently being submerged, back-ups in basements, motorists travelling through a flooded area and Fire Department runs to the area during flooding events. This problem is causing sanitary back-ups into several basements in the area causing property damage as well as a health risk.

3) How important is the project to the health of the Public and the citizens of the District and/or service area?

Give a statement of the projects effect on the health of the service area. The design of the project will improve the overall condition of the facility so as to reduce or eliminate potential for disease, or correct concerns regarding the environmental health of the area. (Typical examples may include the effects of the completed project by improving or adding storm drainage or sanitary facilities, replacing lead jointed water lines, etc.). Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

The project will alleviate standing pools of water which are created during the flooding as well as the sanitary back-ups into residences and businesses affected. The June 2001 rainfall caused extensive damage to property and buildings. pictures attached.

4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?

The jurisdiction must submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance.

Priority 1 Montgomery Road

Priority 2 Elm Avenue Storm Sewer

Priority 3 Williams Avenue

Priority 4 _____

Priority 5 _____

5) Will the completed project generate user fees or assessments?

Will the local jurisdiction assess fees or project costs for the usage of the facility or its products once the project is completed (example: rates for water or sewer, frontage assessments, etc.).

No X Yes _____ If yes, what user fees and/or assessments will be utilized?

6) Economic Growth – How will the completed project enhance economic growth

Give a statement of the projects effect on the economic growth of the service area (be specific).

As this system affects Norwood and is tributary to St. Bernard, it will affect any business in the area and will permit them to further develop their businesses without these occurrences. Small businesses in the area had extensive damage and have to provide protection against this in the future creating an extra cost burden which will deter future businesses from locating to this area.

7) Matching Funds - LOCAL

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (b) of the Ohio Public Works Association's "Application For Financial Assistance" form.

8) Matching Funds - OTHER

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (c) of the Ohio Public Works Association's "Application For Financial Assistance" form. If MRF funds are being used for matching funds, the MRF application must have been filed by August 6 of this year for this project with the Hamilton County Engineer's Office. List below, the source(s) of all "other" funding

- 9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district?

Describe how the proposed project will alleviate serious traffic problems or hazards (be specific).

The drainage for this area of Norwood has been a problem for years. Adequately sizing the pipes in the project area will alleviate this problem and the sizing would be satisfactory for future years. No growth to the project area is anticipated that would increase the amount of runoff in the project area.

For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS _____ Proposed LOS _____

If the proposed design year LOS is not "C" or better, explain why LOS "C" cannot be achieved.

- 10) If SCIP/LTIP funds are granted, when would the construction contract be awarded?

If SCIP/LTIP funds are awarded, how soon after receiving the Project Agreement from OPWC (tentatively set for July 1 of the year following the deadline for applications) would the project be under contract? The Support Staff will review status reports of previous projects to help judge the accuracy of a jurisdiction's anticipated project schedule.

Number of months 9

- a.) Are preliminary plans or engineering completed? Yes _____ No X N/A _____
- b.) Are detailed construction plans completed? Yes _____ No X N/A _____
- c.) Are all utility coordination's completed? Yes _____ No X N/A _____
- d.) Are all right-of-way and easements acquired (if applicable)? Yes _____ No _____ N/A X

If no, how many parcels needed for project? _____ Of these, how many are: Takes _____

Temporary _____

Permanent _____

For any parcels not yet acquired, explain the status of the ROW acquisition process for this project.

- e.) Give an estimate of time needed to complete any item above not yet completed. 5 months.

11) Does the infrastructure have regional impact?

Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

The existing system is tributary to the Ross Run Sewer (combined) which flows through St. Bernard and to the Mill Creek in the rear yards along this system. The project would alleviate flooding occurrences and combined sewer overflows.

12) What is the overall economic health of the jurisdiction?

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?

Describe what formal action has been taken which resulted in a ban of the use of or expansion of use for the involved infrastructure? Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits, etc. The ban must have been caused by a structural or operational problem to be considered valid. Submission of a copy of the approved legislation would be helpful.

Will the ban be removed after the project is completed? Yes _____ No _____ N/A X

14) What is the total number of existing daily users that will benefit as a result of the proposed project?

For roads and bridges, multiply current Average Daily Traffic (ADT) by 1.20. For inclusion of public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4. User information must be documented and certified by a professional engineer or the jurisdictions' C.E.O.

Traffic: ADT _____ X 1.20 = _____ Users

Water/Sewer: Homes 70 X 4.00 = 280 Users

15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure?

The applying jurisdiction shall list what type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for.

Optional \$5.00 License Tax _____

Infrastructure Levy _____ Specify type _____

Facility Users Fee _____ Specify type _____

Dedicated Tax _____ Specify type _____

Other Fee, Levy or Tax _____ Specify type _____

IF YOU ARE APPLYING FOR A GRANT, WILL YOU BE WILLING TO ACCEPT A LOAN IF ASKED BY THE DISTRICT? XX YES _____NO (ANSWER REQUIRED)

Note: Answering "Yes" will not increase your score and answering "NO" will not decrease your score.

**SCIP/LTIP PROGRAM
ROUND 16 - PROGRAM YEAR 2002
PROJECT SELECTION CRITERIA
JULY 1, 2002 TO JUNE 30, 2003**

NAME OF APPLICANT: Norwood

NAME OF PROJECT: Norwood Drainage Improvements - Elm Avenue Levee

RATING TEAM: 5

NOTE: See the attached "Addendum To The Rating System" for definitions, explanations and clarifications to each of the criterion points of this rating system.

CIRCLE THE APPROPRIATE RATING

1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

- 25 - Failed
- 23 - Critical
- 20 - Very Poor
- ☒ 17 - Poor
- 15 - Moderately Poor
- 10 - Moderately Fair
- 5 - Fair Condition
- 0 - Good or Better

SECT A - Poor 650'
B - Poor 325'
C - Good 193'; 265'-FAIR
D - FAIR 184'
E - FAIR

REPORT INTO & SITE EVALUATION
LEAD TO POOR

Appeal Score _____

2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

- 25 - Highly significant importance
- 20 - Considerably significant importance
- ☒ 15 - Moderate importance
- ~~10~~ Minimal importance
- 0 - No measurable impact

FLOOD RELATED
SAFETY PROBLEMS

Appeal Score _____

3) How important is the project to the health of the Public and the citizens of the District and/or service area?

- 25 - Highly significant importance
- 20 - Considerably significant importance
- 15 - Moderate importance
- ☒ ~~10~~ Minimal importance
- ~~5~~ - No measurable impact

Appeal Score _____

4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?

Note: Jurisdiction's priority listing (part of the Additional Support Information) must be filed with application(s).

- 25 - First priority project
- ☒ 20 - Second priority project
- 15 - Third priority project
- 10 - Fourth priority project
- 5 - Fifth priority project or lower

Appeal Score _____

5) Will the completed project generate user fees or assessments?

- ☒ 10 - No
- 0 - Yes

Appeal Score _____

6) Economic Growth – How the completed project will enhance economic growth (See definitions).

10 – The project will directly secure significant new employment

Appeal Score

7 – The project will directly secure new employment

5 – The project will secure new employment

3 – The project will permit more development

☒ 0 – The project will not impact development

7) Matching Funds - LOCAL

☒ 10 – This project is a loan or credit enhancement

☒ 10 – 50% or higher

8 – 40% to 49.99%

6 – 30% to 39.99%

4 – 20% to 29.99%

2 – 10% to 19.99%

0 – Less than 10%

8) Matching Funds - OTHER

10 – 50% or higher

8 – 40% to 49.99%

6 – 30% to 39.99%

4 – 20% to 29.99%

2 – 10% to 19.99%

1 – 1% to 9.99%

☒ 0 – Less than 1%

9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district?
(See Addendum for definitions)

10 – Project design is for future demand.

Appeal Score

8 – Project design is for partial future demand.

☒ 6 – Project design is for current demand.

4 – Project design is for minimal increase in capacity.

2 – Project design is for no increase in capacity.

10) Ability to Proceed - If SCIP/LTIP funds are granted, when would the construction contract be awarded? (See Addendum concerning delinquent projects)

5 – Will be under contract by December 31, 2002 and no delinquent projects in Rounds 13 & 14

3 – Will be under contract by March 31, 2003 and/or one delinquent project in Rounds 13 & 14

☒ 0 – Will not be under contract by March 31, 2003 and/or more than one delinquent project in Rounds 13 & 14

11) Does the infrastructure have regional impact? Consider origination and destination of traffic, functional classifications, size of service area, and number of jurisdictions served, etc. (See Addendum for definitions)

10 – Major impact

8 –

6 – Moderate impact

4 –

☒ 2 – Minimal or no impact

SMALL PORTION OF NORWOOD
AFFECTED

Appeal Score

12) What is the overall economic health of the jurisdiction?

- 10 Points
- 8 Points
- 6 Points
- 4 Points
- 2 Points

13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?

- 10 - Complete ban, facility closed

8 - 80% reduction in legal load or 4-wheeled vehicles only

7 - Moratorium on future development, *not* functioning for current demand

6 - 60% reduction in legal load

5 - Moratorium on future development, functioning for current demand

4 - 40% reduction in legal load

2 - 20% reduction in legal load

0 - Less than 20% reduction in legal load
- Appeal Score

14) What is the total number of existing daily users that will benefit as a result of the proposed project?

- 10 - 16,000 or more

8 - 12,000 to 15,999

6 - 8,000 to 11,999

4 - 4,000 to 7,999

2 - 3,999 and under
- Appeal Score

15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure? (Provide documentation of which fees have been enacted.)

- 5 - Two or more of the above

3 - One of the above

0 - None of the above
- Appeal Score

ADDENDUM TO THE RATING SYSTEM

General Statement for Rating Criteria

Points awarded for all items will be based on engineering experience, field verification, application information and other information supplied by the applicant, which is deemed to be relevant by the Support Staff. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

Criterion 1 - Condition

Condition is based on the amount of deterioration that is field verified or documented exclusive of capacity, serviceability, health and/or safety issues. Condition is rated only on the facility being repaired or abandoned. (Documentation may include: ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application.)

Definitions:

Failed Condition - requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: complete removal and replacement of bridge; Underground: removal and replacement of an underground drainage or water system; Hydrants: completely non functioning and replacement parts are unavailable.)

Critical Condition - requires moderate or partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway/curbs can be saved; Bridges: removal and replacement of bridge with abutment modification; Underground: removal and replacement of part of an underground drainage or water system; Hydrants: some non-functioning, others obsolete and replacement parts are unavailable.)

Very Poor Condition - requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: superstructure replacement; Underground: repair of joints and/or minor replacement of pipe sections; Hydrants: non-functioning and replacement parts are available.)

Poor Condition - requires standard rehabilitation to maintain integrity. (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: extensive patching of substructure and replacement of deck; Underground: insituform or other in ground repairs; Hydrants: functional, but leaking and replacement parts are unavailable.)

Moderately Poor Condition - requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: major structural patching and/or major deck repair; Hydrants: functional and replacement parts are available.)

Moderately Fair Condition - requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: minor structural patching, deck repair, erosion control.)

Fair Condition - requires routine maintenance to maintain integrity. (E.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor structural patching.)

Good or Better Condition - little to no maintenance required to maintain integrity.

Note: If the infrastructure is in "good" or better condition, it will **NOT** be considered for SCIP/LTIP funding unless it is an expansion project that will improve serviceability.

Criterion 2 – Safety

The design of the project is intended to reduce existing accident rate, promote safer conditions, and reduce the danger of risk, liability or injury. (e.g. widening existing roadway lanes to standard widths, adding lanes to a roadway or bridge to increase capacity or alleviate congestion, replacing non-functioning hydrants, increasing capacity to a water system, etc. Documentation is required.)

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

Criterion 3 – Health

The design of the project will improve the overall condition of the facility so as to reduce or eliminate potential for disease, or correct concerns regarding the environmental health of the area (e.g. Improving or adding storm drainage or sanitary facilities, replacing lead jointed water lines, etc.)

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

Criterion 4 – Jurisdiction's Priority Listing

The jurisdiction **must** submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance. The form is included in the Additional Support Information.

Criterion 5 – Generate Fees

Will the local jurisdiction assess fees or project costs for the usage of the facility or its products once the project is completed (example: rates for water or sewer, frontage assessments, etc.). The applying jurisdiction must submit documentation.

Criterion 6 – Economic Growth

Will the completed project enhance economic growth and/or development in the service area?

Definitions:

- Directly secure significant new employment:** The project is specifically designed to secure a particular development/employer(s), which will add at least 100 or more new employees. The applicant agency must supply specific details of the development, the employer(s), and number of new permanent employees.
- Directly secure new employment:** The project is specifically designed to secure development/employers, which will add at least 50 new permanent employees. The applying agency must supply details of the development and the type and number of new permanent employees.
- Secure new employment:** The project is specifically designed to secure development/employers, which will add 10 or more new permanent employees. The applying agency must submit details.
- Permit more development:** The project is designed to permit additional business development. The applicant must supply details.
- The project will not impact development:** The project will have no impact on business development.

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply.

Criterion 7 – Matching Funds - Local

The percentage of matching funds which come directly from the budget of the applying local government.

Criterion 8 – Matching Funds - Other

The percentage of matching funds that come from funding sources other than those mentioned in Criterion 7.

Criterion 9 – Alleviate Traffic Problems

The jurisdiction shall provide a narrative, along with pertinent support documentation, which describe the existing deficiencies and showing how congestion or hazards will be reduced or eliminated and how service will be improved to meet the needs of any expected growth or development. A formal capacity analysis accompanying the application would be beneficial. Projected traffic or demand should be calculated as follows:

Formula:

Existing users x design year factor = projected users

<u>Design Year</u>	<u>Design year factor</u>		
	<u>Urban</u>	<u>Suburban</u>	<u>Rural</u>
20	1.40	1.70	1.60
10	1.20	1.35	1.30

Definitions:

- Future demand** – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for twenty-year projected demand or fully developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.
- Partial future demand** – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for ten-year projected demand or partially developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.
- Current demand** – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service only for existing demand and conditions.
- Minimal increase** – Project will reduce but not eliminate existing congestion or deficiencies and will provide a minimal but less than sufficient increase in existing capacity or service for existing demand and conditions.
- No increase** – Project will have no effect on existing congestion or deficiencies and provide no increase in capacity or service for existing demand and conditions.

Criterion 10 - Ability to Proceed

The Support Staff will assign points based on engineering experience and OPWC defined delinquent projects. A project is considered delinquent when it has not received a notice to proceed within the time stated on the original application and no time extension has been granted by the OPWC. A jurisdiction receiving approval for a project and subsequently canceling the same after the bid date on the application may be considered as having a delinquent project.

Criterion 11 - Regional Impact

The regional significance of the infrastructure that is being repaired or replaced.

Definitions:

Major Impact - Roads: major multi-jurisdictional route, primary feed route to an Interstate, Federal Aid Primary routes.

Moderate Impact - Roads: principal thoroughfares, Federal Aid Urban routes

Minimal / No Impact - Roads: cul-de-sacs, subdivision streets

Criterion 12 – Economic Health

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

Criterion 13 - Ban

The jurisdiction shall provide documentation to show that a facility ban or moratorium has been formally placed. The ban or moratorium must have been caused by a structural or operational problem. Points will only be awarded if the end result of the project will cause the ban to be lifted.

Criterion 14 - Users

The applying jurisdiction shall provide documentation. A registered professional engineer or the applying jurisdictions' C.E.O must certify the appropriate documentation. Documentation may include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

Criterion 15 – Fees, Levies, Etc.

The applying jurisdiction shall document (in the "Additional Support Information" form) which type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for.